Broadridge Retirement and Workplace
2 Chatham
Centre
Pittsburgh,
PA
15219
www.fi360.com

WE MAY ALREADY HAVE YOUR DATA!

Please note, you should not complete or submit the attached authorization form if you are with a **Broker Dealer or RIA aggregator**. Contact fi360integrations@broadridge.com to see if your firm has already been approved for this integration.

Things you should know.

1. This integration is available at the Firm Level ONLY. The person in your firm responsible for Third Party Authorization will need to complete the PCS REQUEST FOR AGREEMENT form on page 2 of this document.
    a. Please note that the completion and submission of this form will initiate a review process by PCS and they will contact you with further guidance.

2. Please make sure you are using the Firm Name you use for business with this recordkeeper, and please note if you use more than one name you will need to complete a form for each business name represented.

3. Please select "Fi360" in the Data Aggregator section of the form.

4. Please email the completed form to data@pcsretirement.com and copy Fi360Integrations@broadridge.com.

5. In your TOOLKIT, please enter your CRD number under the integrations tab. This will help us keep track of your request.

6. Once an integration is approved, we receive a monthly file from PCS that contains month end values. This data file is typically received/processed by the 20^{th} business day of the month and includes the data for the previous month-end.

MESSAGE FROM PCS/ASPIRE:

Once the Request for Agreement ***BELOW*** *is completed and returned in good order, we can make a determination whether the request can be supported. If so, it will be added as the last page and Exhibit 1 of our data sharing agreement. The data sharing agreement will then be sent to the authorized signer for signature.*

Should you have any questions about the Request for Agreement, please do not hesitate to ask.

Otherwise, kindly complete it and send it back at your earliest convenience.

Exhibit 1:
Request for Agreement

Please provide the information requested below. This information will become a part of the agreement that will be provided to your firm to establish a feed of data to your chosen data aggregator.

Full/Formal Firm Name (including LLC, Inc., etc., if applicable):

Firm CRD#:

Individual who will sign the agreement on behalf of the Firm:

Name:	
Title:	
Email:	

Data Aggregator:
In addition to the information provided on this form, many data aggregators require additional steps be taken, directly with the data aggregator in order to have the data aggregator accept and support the data provided. By selecting a data aggregator below, the Firm named above represents that it has contacted the selected aggregator, provided all information required by the selected aggregator, executed any agreements required by the selected aggregator, and taken all other steps required by the selected aggregator to establish and support the feed of data. The Firm will be responsible for all fees charged by the aggregator for the aggregator's services to the Firm.

Please select one:	Aggregator	Aggregator-Specific Information
☐	Albridge – Standard Option*	Provide Firm FI#:
☐	Albridge – Plan-Level Option*	Provide Firm FI#:
☐	Black Diamond	
☐	ByAllAccounts	
☐	DST FanMail – Dealer Level Feed	
☐	Envestnet Retirement Solutions (ERS)	
☐	Expand Financial	
☐	Fi360 - A Broadridge Company	
☐	Fiduciary Decisions (FBI)	
☐	LPL Financial	
☐	Orion	
☐	Plan Tools	
☐	RPAG	
☐	Broadridge Wealth Aggregation and Insights ("WAI") - (f/k/a Investigo)	

*Positions and transactional data for individual accounts and accounts in plans that have (or may have) multiple financial professionals that service individual participant accounts within the plan (e.g., multi-vendor 403(b) plans, etc.) will be provided at the individual account level. If the Albridge – Plan-Level Option is elected, positions and transactional data will be rolled up to the plan level for plans that have a single governing or primary financial professional (e.g., ERISA plans, etc.). If the Albridge – Standard Option is elected, positions and transactional data will not be rolled up.

By electing the plan level option for the Albridge data aggregator, I understand that the positions and transactional data will be rolled up to the plan level for plans that have one governing or primary advisor, e.g. ERISA plans such as 401(k), Profit Sharing plans, and position and transactional data for non-ERISA or Individual Account plans, such as 403(b), IRA will be generated at the participant level.