

# Sample UBS Investment Monitoring Report

## Performance Summary

SAMPLE REPORT  
NOT FOR DISTRIBUTION TO THE PUBLIC

ACTIVE FUND ASSETS - \$975,000

INVESTMENT	ASSETS	LAST QTR	YTD	1 YR (%)	3 YR (%)	5 YR (%)	10 YR (%)	SI	INCEPTION DATE	GROSS EXP	NET EXP	SCORE
<b>Intermediate-Term Bond</b>												
<b>Oppenheimer Total Return Bond R - OPBNX<sup>2</sup></b>	\$75,000	0.50 (21)	3.95 (43)	3.95 (43)	2.18 (53)	2.46 (29)	0.05 (100)	2.01	01-Mar-2001	1.11	1.10	8
Bloomberg Barclays U.S. Aggregate Bond		0.39	3.54	3.54	2.24	2.10	4.01	-	-	-	-	-
Peer Group Median (50th Percentile)		0.31	3.78	3.78	2.21	2.05	4.18	-	-	0.83	0.70	-
Number of Funds in Peer Group		1016	1004	1004	942	915	803	-	-	-	-	-
<b>World Bond</b>												
<b>AB Global Bond R - ANARX<sup>2</sup></b>	\$75,000	0.24 (80)	2.45 (90)	2.45 (90)	2.48 (34)	2.29 (24)	4.12 (42)	4.10	05-Nov-2007	1.25	1.25	9
Citi WGBI NonUSD USD		1.57	10.33	10.33	1.99	-0.29	2.44	-	-	-	-	-
Peer Group Median (50th Percentile)		0.71	7.04	7.04	2.02	1.11	3.78	-	-	1.04	0.89	-
Number of Funds in Peer Group		317	306	306	289	279	202	-	-	-	-	-
<b>Inflation-Protected Bond</b>												
<b>R American Century Short Dur Inf PrBd A - APOAX<sup>2</sup></b>	\$75,000	0.00 (99)	0.48 (98)	0.48 (98)	0.89 (88)	-0.37 (59)	2.39 (77)	2.65	31-May-2005	0.82	0.82	4
<b>P Eaton Vance Short Dur Infl-Prot Inc A - EARRX<sup>2</sup></b>	\$0	0.61 (78)	1.80 (75)	1.80 (75)	2.13 (17)	0.83 (01)	n/a (na)	2.05	01-Apr-2010	1.25	0.75	9
Bloomberg Barclays U.S. TIPS		1.26	3.01	3.01	2.05	0.13	3.53	-	-	-	-	-
Peer Group Median (50th Percentile)		1.11	2.61	2.61	1.57	-0.27	2.94	-	-	0.82	0.60	-
Number of Funds in Peer Group		237	236	236	228	214	153	-	-	-	-	-
<b>Allocation--50% to 70% Equity</b>												
<b>Janus Henderson Balanced R - JDBRX<sup>2</sup></b>	\$75,000	5.09 (3)	17.80 (5)	17.80 (05)	6.96 (27)	9.45 (27)	7.12 (11)	9.81	06-Jul-2009	1.34	1.34	10
60% S&P500 / 40% BBcap Agg Bond		4.12	14.21	14.21	7.80	10.25	6.98	-	-	-	-	-
Peer Group Median (50th Percentile)		3.43	13.67	13.67	6.33	8.40	5.56	-	-	1.13	1.06	-
Number of Funds in Peer Group		841	835	835	786	766	626	-	-	-	-	-

Fund Color: P = Proposed R = To Be Replaced W = On Watch List

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<b>Large Growth</b>												
<b>Franklin Growth R - FGSRX<sup>2</sup></b>	\$75,000	5.98 (65)	26.50 (63)	26.50 (63)	11.63 (46)	15.52 (52)	9.10 (32)	7.86	02-Jan-2002	1.15	1.13	9
<i>Russell 3000 Growth TR USD</i>		7.61	n/a	29.59	13.51	17.16	9.93	11.26	-	-	-	-
Russell 1000 Growth Index		7.86	30.21	30.21	13.79	17.33	10.00	-	-	-	-	-
Peer Group Median (50th Percentile)		6.50	28.40	28.40	11.33	15.60	8.44	-	-	1.09	1.00	-
Number of Funds in Peer Group		1406	1393	1393	1339	1318	1125	-	-	-	-	-
<b>Mid-Cap Value</b>												
<b>Victory Sycamore Established Value R - GETGX</b>	\$75,000	5.69 (28)	15.44 (22)	15.44 (22)	11.79 (05)	15.94 (03)	10.45 (07)	11.97	16-Aug-1983	1.13	1.13	10
Russell Mid-Cap Value Index		5.50	13.34	13.34	9.00	14.68	9.10	-	-	-	-	-
Peer Group Median (50th Percentile)		5.07	12.64	12.64	8.42	13.61	7.98	-	-	1.18	1.08	-
Number of Funds in Peer Group		409	399	399	388	355	305	-	-	-	-	-
<b>Mid-Cap Growth</b>												
<b>Prudential Jennison Mid-Cap Growth R - JDERX<sup>2</sup></b>	\$75,000	5.19 (71)	22.03 (73)	22.03 (73)	7.08 (89)	11.25 (92)	7.95 (46)	9.68	03-Jun-2005	1.49	1.24	5
<i>Morningstar US Mid Growth TR USD</i>		6.61	n/a	25.67	9.93	14.35	7.98	8.31	-	-	-	-
Russell Mid-Cap Growth Index		6.81	25.27	25.27	10.30	15.30	9.10	-	-	-	-	-
Peer Group Median (50th Percentile)		5.79	24.55	24.55	9.66	13.63	7.84	-	-	1.21	1.12	-
Number of Funds in Peer Group		615	612	612	598	574	519	-	-	-	-	-
<b>Small Value</b>												
<b>Victory Sycamore Small Company Opp R - GOGFX</b>	\$75,000	3.04 (63)	11.25 (31)	11.25 (31)	12.55 (05)	14.95 (10)	10.23 (07)	10.08	16-Aug-1983	1.48	1.48	11
Russell 2000 Value Index		2.05	7.84	7.84	9.55	13.01	8.17	-	-	-	-	-
Peer Group Median (50th Percentile)		3.34	9.03	9.03	8.76	12.93	8.50	-	-	1.37	1.22	-
Number of Funds in Peer Group		399	390	390	366	345	292	-	-	-	-	-

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<b>Small Growth</b>												
<b>Buffalo Small Cap - BUFSX</b>	\$75,000	3.80 (76)	27.07 (22)	27.07 (22)	8.85 (69)	11.68 (88)	8.37 (56)	11.58	14-Apr-1998	1.02	1.02	5
Russell 2000 Growth Index		4.59	22.17	22.17	10.28	15.21	9.19	-	-	-	-	-
Peer Group Median (50th Percentile)		4.98	21.89	21.89	9.98	14.05	8.63	-	-	1.31	1.19	-
Number of Funds in Peer Group		720	714	714	697	654	577	-	-	-	-	-
<b>Small Growth - CIT</b>												
<b>W BlackRock Russell 2000 Growth F - F00000Q6H9</b>	\$75,000	4.43 (44)	22.14 (16)	22.14 (16)	10.26 (50)	15.35 (32)	9.44 (67)	4.78	01-Apr-2000	0.02	0.02	8
Russell 2000 Growth Index		4.59	22.17	22.17	10.28	15.21	9.19	-	-	-	-	-
Peer Group Median (50th Percentile)		4.40	19.31	19.31	10.26	15.10	9.52	-	-	0.66	0.65	-
Number of Funds in Peer Group		49	39	39	35	33	19	-	-	-	-	-
<b>Foreign Large Growth</b>												
<b>Oppenheimer International Growth R - OIGNX<sup>2</sup></b>	\$75,000	3.55 (83)	26.26 (89)	26.26 (89)	8.20 (69)	7.90 (58)	4.12 (16)	5.77	01-Mar-2001	1.38	1.38	4
MSCI AC World Index ex USA Growth ND USD		5.77	32.01	32.01	9.29	7.97	2.40	-	-	-	-	-
Peer Group Median (50th Percentile)		4.34	31.24	31.24	9.11	8.13	2.79	-	-	1.28	1.14	-
Number of Funds in Peer Group		412	410	410	367	353	289	-	-	-	-	-
<b>World Large Stock</b>												
<b>Putnam Global Equity A - PEQUX</b>	\$75,000	5.16 (45)	28.08 (23)	28.08 (23)	8.27 (58)	11.14 (46)	4.15 (67)	8.28	01-Jul-1994	1.17	1.17	8
MSCI The World Index ND USD		5.51	22.40	22.40	9.26	11.64	5.03	-	-	-	-	-
Peer Group Median (50th Percentile)		5.02	23.70	23.70	8.66	10.92	4.90	-	-	1.38	1.13	-
Number of Funds in Peer Group		912	874	874	795	700	491	-	-	-	-	-

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<b>Diversified Emerging Mkts</b>												
<b>Oppenheimer Developing Markets R - ODVNX<sup>2</sup></b>	\$75,000	4.97 (81)	34.47 (58)	34.47 (58)	7.12 (72)	4.73 (44)	3.92 (07)	11.76	01-Mar-2001	1.57	1.57	9
MSCI EM (Emerging Markets) ND USD		7.44	37.28	37.28	9.10	4.35	1.68	-	-	-	-	-
Peer Group Median (50th Percentile)		6.35	36.00	36.00	8.62	4.32	1.81	-	-	1.67	1.35	-
Number of Funds in Peer Group		789	784	784	685	551	284	-	-	-	-	-

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## Performance Summary

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PASSIVE FUND ASSETS - \$75,000

INVESTMENT	ASSETS	LAST QTR	YTD	1 YR (%)	3 YR (%)	5 YR (%)	10 YR (%)	SI	INCEPTION DATE	GROSS EXP	NET EXP	SCORE
<b>Large Blend</b>												
<b>Principal Large Cap S&amp;P 500 Index R3 - PLFMX<sup>2</sup></b>	\$75,000	6.43 (6)	20.96 (50)	20.96 (50)	10.61 (34)	14.94 (na)	7.71 (51)	5.36	06-Dec-2000	0.73	0.73	12
<i>S&amp;P 500 TR USD</i>		6.64	n/a	21.83	11.41	15.79	8.50	10.50	-	-	-	-
Russell 1000 Index		6.59	21.69	21.69	11.23	15.71	8.59	-	-	-	-	-
Peer Group Median (50th Percentile)		6.46	20.96	20.96	10.03	14.66	7.71	9.23	-	1.03	0.92	-
Number of Funds in Peer Group		1380	1337	1337	1273	1198	1062	-	-	-	-	-

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TARGET DATE FUND ASSETS - \$600,000

INVESTMENT	ASSETS	LAST QTR	YTD	1 YR (%)	3 YR (%)	5 YR (%)	10 YR (%)	SI	INCEPTION DATE	GROSS EXP	NET EXP	SCORE
<b>American Century One Choice 2020 R - ARBRX<sup>2</sup></b>	\$75,000	2.44 (82)	10.47 (80)	10.47 (80)	4.48 (79)	6.29 (65)	n/a (na)	4.92	30-May-2008	1.29	1.27	3
Dow Jones US 2020 Target Index		2.24	8.46	8.46	4.95	7.22	5.89	-	-	-	-	-
Peer Group Median (50th Percentile)		3.01	12.66	12.66	5.84	7.19	4.55	-	-	1.04	0.73	-
Number of Funds in Peer Group		245	241	241	215	185	128	-	-	-	-	-
<b>American Century One Choice 2025 R - ARWRX<sup>2</sup></b>	\$75,000	2.69 (92)	11.71 (90)	11.71 (90)	4.97 (93)	6.89 (80)	4.74 (68)	6.20	31-Aug-2004	1.33	1.29	2
Dow Jones US 2025 Target Index		2.95	10.53	10.53	6.07	8.84	6.56	-	-	-	-	-
Peer Group Median (50th Percentile)		3.51	15.07	15.07	6.68	8.21	5.05	-	-	1.01	0.73	-
Number of Funds in Peer Group		219	216	216	191	166	87	-	-	-	-	-
<b>American Century One Choice 2030 R - ARCRX<sup>2</sup></b>	\$75,000	2.98 (97)	12.95 (92)	12.95 (92)	5.48 (92)	7.62 (81)	n/a (na)	5.29	30-May-2008	1.36	1.31	2
Dow Jones US 2030 Target Index		3.69	12.67	12.67	7.08	10.38	7.16	-	-	-	-	-
Peer Group Median (50th Percentile)		4.05	17.01	17.01	7.32	9.02	5.04	-	-	1.04	0.78	-
Number of Funds in Peer Group		244	241	241	215	185	128	-	-	-	-	-
<b>American Century One Choice 2035 R - ARYRX<sup>2</sup></b>	\$75,000	3.30 (97)	14.13 (98)	14.13 (98)	5.94 (97)	8.36 (93)	5.06 (73)	6.85	31-Aug-2004	1.38	1.34	1
Dow Jones US 2035 Target Index		4.39	14.72	14.72	8.02	11.76	7.72	-	-	-	-	-
Peer Group Median (50th Percentile)		4.45	18.73	18.73	8.04	9.93	5.55	-	-	1.04	0.75	-
Number of Funds in Peer Group		219	216	216	191	166	87	-	-	-	-	-
<b>American Century One Choice 2040 R - ARDRX<sup>2</sup></b>	\$75,000	3.66 (99)	15.49 (93)	15.49 (93)	6.42 (93)	9.06 (83)	n/a (na)	5.95	30-May-2008	1.41	1.36	1
Dow Jones US 2040 Target Index		4.98	16.45	16.45	8.80	12.84	8.20	-	-	-	-	-
Peer Group Median (50th Percentile)		4.76	19.86	19.86	8.25	10.29	5.34	-	-	1.09	0.80	-
Number of Funds in Peer Group		244	241	241	215	185	128	-	-	-	-	-

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<b>American Century One Choice 2045 R - ARORX<sup>2</sup></b>	\$75,000	3.93 (97)	16.91 (97)	16.91 (97)	6.94 (95)	9.72 (86)	5.53 (63)	7.39	31-Aug-2004	1.44	1.40	1
Dow Jones US 2045 Target Index		5.41	17.68	17.68	9.34	13.51	8.55	-	-	-	-	-
Peer Group Median (50th Percentile)		4.89	20.68	20.68	8.68	10.68	5.82	-	-	1.10	0.75	-
Number of Funds in Peer Group		219	216	216	191	165	87	-	-	-	-	-
<b>American Century One Choice 2050 R - ARFWX<sup>2</sup></b>	\$75,000	4.16 (98)	17.90 (92)	17.90 (92)	7.27 (92)	10.04 (79)	n/a (na)	6.14	30-May-2008	1.47	1.42	2
Dow Jones US 2050 Target Index		5.62	18.26	18.26	9.60	13.72	8.65	-	-	-	-	-
Peer Group Median (50th Percentile)		5.01	20.86	20.86	8.60	10.78	5.65	-	-	1.21	0.80	-
Number of Funds in Peer Group		240	237	237	211	180	90	-	-	-	-	-
<b>American Century One Choice 2055 R - AREOX<sup>2</sup></b>	\$75,000	4.36 (95)	18.44 (95)	18.44 (95)	7.49 (96)	10.33 (80)	n/a (na)	8.83	31-Mar-2011	1.48	1.42	1
Dow Jones US 2055 Target Index		5.63	18.30	18.30	9.61	13.72	8.65	-	-	-	-	-
Peer Group Median (50th Percentile)		4.99	21.18	21.18	8.81	10.91	6.81	-	-	1.36	0.75	-
Number of Funds in Peer Group		219	214	214	184	129	3	-	-	-	-	-

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