

Sample UBS Investment Monitoring Report

Portfolio Style

SAMPLE REPORT
NOT FOR DISTRIBUTION TO THE PUBLIC

U.S. Equity Style

	VALUE	BLEND	GROWTH
LARGE		Principal Large Cap S&P 500 Index R3 \$75,000 12	Franklin Growth R \$75,000 9
MEDIUM	Victory Sycamore Established Value R \$75,000 10		Prudential Jennison Mid-Cap Growth R \$75,000 5
SMALL	Victory Sycamore Small Company Opp R \$75,000 11		Buffalo Small Cap \$75,000 5 W BlackRock Russell 2000 Growth F \$75,000 8

The vertical axis of equity funds shows the market capitalization of the stocks owned and the horizontal axis shows the investment style.
Style box content includes: Fund Name, Assets, and Current Score.

Foreign/World Equity Style

	VALUE	BLEND	GROWTH
LARGE		Oppenheimer Developing Markets R \$75,000 9 Putnam Global Equity A \$75,000 8	Oppenheimer International Growth R \$75,000 4
MEDIUM			
SMALL			

The vertical axis of equity funds shows the market capitalization of the stocks owned and the horizontal axis shows the investment style.
Style box content includes: Fund Name, Assets, and Current Score.

Fund Color: P = Proposed R = To Be Replaced W = On Watch List

Refer to the Important Information About this Report section for Portfolio Style box definitions.



Sample UBS Investment Monitoring Report

Portfolio Style

SAMPLE REPORT
NOT FOR DISTRIBUTION TO THE PUBLIC

Fixed Income Style

	LIMITED	MODERATE	EXTENSIVE
HIGH			P Eaton Vance Short Dur Infl-Prot Inc \$0 9 A R American Century Short Dur Inf \$75,000 4 PrBd A
MEDIUM		AB Global Bond R \$75,000 9 Oppenheimer Total Return Bond R \$75,000 8	
LOW			

The vertical axis of fixed income funds shows the average credit quality of the bonds owned, and the horizontal axis shows interest rate sensitivity as measured by a bond's duration. Style box content includes: Fund Name, Assets, and Current Score.

Other Styles

	TARGET DATE FUNDS	ASSET ALLOCATION	SPECIALTY
	Target Date Series \$600,000 2	Janus Henderson Balanced R \$75,000 10	

Target Date, Asset Allocation, and Specialty Asset Category boxes have been segregated from the traditional Equity Style box to distinguish funds assigned to them. Multisector funds are typically not categorized within the Morningstar StyleBox. Style box content includes: Fund Name, Assets, and Current Score.

Fund Color: P = Proposed R = To Be Replaced W = On Watch List

Refer to the Important Information About this Report section for Portfolio Style box definitions.

