Logo

Description automatically generatedBroadridge Fi360 Solutions

2 Chatham Centre

Pittsburgh, PA 15219 [www.fi360.com](http://www.fi360.com/)

***WE MAY ALREADY HAVE YOUR DATA!***

Please note, you should not complete or submit the attached authorization form if you are with a **Broker Dealer or RIA aggregator**. Contact [fi360integrations@broadridge.com](mailto:fi360integrations@broadridge.com) to see if your firm has already been approved for this integration.

**Things you should know.**

1. This is a RIA Authorization ONLY. The person in your firm responsible for Third Party Authorization will need to complete this form.
2. Please make sure you are using the Firm Name you use for business with this record keeper please note if you use more than one name you will need to complete a form for each business name represented.
3. We receive a monthly file from One America that contains month end values. This data is typically received during the 2nd week of the month following month end.
4. Please be sure to enter your CRD number under the [integrations tab](https://fi360.zendesk.com/hc/en-us/articles/235591108-How-To-Request-Integrations-With-A-Provider-Fiduciary-Focus-Toolkit-). This helps us at Fi360 keep track of your request.
5. Copy the document content onto your letterhead and enter the required information.
6. Scan this copy and email it to [Matt.Asher@oneamerica.com](mailto:Matt.Asher@oneamerica.com) and copy [Fi360Integrations@broadridge.com](mailto:Fi360Integrations@broadridge.com).
7. If you do not see your integration feed within 6 weeks please reach out to [Fi360integrations@broadridge.com](mailto:Fi360integrations@broadridge.com) for an update.

# PLACE ON COMPANY LETTERHEAD

[TODAY DATE]

OneAmerica Financial Partners, Inc. One American Square

P.O. Box 368

Indianapolis, IN 46206-0368

Dear OneAmerica Financial Partners:

[RIA Firm Name] (“Firm”), hereby directs OneAmerica (“Recordkeeper”) to allow Fi360, Inc. (“Agent”) to receive a periodic download of computer files containing account data (“Account Data”) for all accounts (“Client Accounts”) now or in the future on behalf of the Client.

Agent’s authority with respect to Client Accounts will be limited to downloading Account Data and integrating the Account Data into Agent’s products to benefit the Client’s Advisor and other related Advisor employees. Agent will have no trading, disbursement, fee payment or other authority over any Client Account.

Client shall indemnify, defend and hold Agent, Recordkeeper and their respective affiliates, third party providers, and agents, employees, officers and directors harmless from and against any costs, expenses (including, without limitation, reasonable attorneys’ fees), damages and other liabilities associated with any demand, claim, action, suit or proceeding (collectively, “Claims”) based on, related to or arising out of (a) any acts or omissions of Client including, without limitation, a failure by Client to appropriately obtain consent from its customers or participants to properly allow Recordkeeper to send, and Agent to receive, Account Data; (b) Client’s receipt or use of the Account Data or any decisions or analyses arising out of such use); (c) Client’s alleged violation of applicable law, rules, regulations, orders or regulatory guidance; or (d) allegations that the Account Data infringes a patent, copyright, trademark or any other legally cognizable intellectual property right of a third party or misappropriates a trade secret of a third party. Client agrees that Agent and Recordkeeper shall each be a third party beneficiary of Client’s obligations in this paragraph and that Agent and Recordkeeper shall have the right to enforce the terms of this paragraph against Client.

**HOLD HARMLESS & INDEMNIFICATION**

AUL and/or OARS agrees to [RIA Name]’s request to provide [RIA] with the [RIA] client data it has requested. [RIA] agrees to hold AUL and OARS harmless and fully indemnify each from any claim, damage, and/or loss, including attorneys’ fees and costs incurred, arising out of or resulting therefrom.

Sincerely,

[FIRM NAME]

By: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**Authorized Signature**

Name: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**Print Name**

Title: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**Job Title**

Date**:**

**Current Date**