

ADVERTISING REGULATION DEPARTMENT REVIEW LETTER

October 21, 2020

Mr. Cedric Fischer
Broadridge Business Process Outsourcing, LLC
717 17th Street, 13th Floor
Denver CO 80202

Reference: **FR2020-0901-0103/E**

Org Id: 114212

1. Monitoring Report
Rules: FIN 2210, SEC 482
86 Pages

Total Fee: \$885

Dear Mr. Fischer,

Our review is based on the understanding that in its final form, this communication will prominently disclose the name of the member, pursuant to FINRA Rule 2210(d)(3), as represented by your firm in the explanation uploaded with this submission.

The communication submitted appears consistent with applicable standards.

Reviewed by,

Kristina B. Shaw
Associate Principal Analyst

jfb

Please send any communications related to filing reviews to this Department through the Advertising Regulation Electronic Filing (AREF) system or by facsimile or hard copy mail service. We request that you do not send documents or other communications via email.

NOTE: We assume that your filed communication doesn't omit or misstate any fact, nor does it offer an opinion without reasonable basis. While you may say that the communication was "reviewed by FINRA" or "FINRA reviewed," you may not say that we approved it.