



Fi360/Broadridge Training- CE Eligibility

To Whom It May Concern:

Broadridge/Fi360 is registered as a sponsor of continuing professional education with the organizations listed below. The number of credit hours approved for Broadridge/Fi360 training programs is listed below by program.

CFP Board of Standards (CFP Designation)

AIF® Self-Paced Online Training	7 hours
AIF® Capstone Training	15 hours
AIF® Designation Training - Virtual Class	7 Hours*
AIFA® Designation Training - Virtual Class	8 hours*
PPC® Self-Paced Online Training	Not Eligible
PPC® Classroom Training	12 hours
Fiduciary Essentials of Defined Contribution Plans (Online)	Not Eligible
Fiduciary Essentials for Advisors	Not Eligible

*In order to be eligible for CE credits for AIF® or AIFA® Designation Training - Virtual Class, **students must attend all sessions live.**

IWI (CIMA, CIMC and CPWA Designations)

AIF® Self-Paced Online Training	14 hours
AIF® Capstone Training	22 hours
AIF® Designation Training - Virtual Class	7 Hours*
AIFA® Designation Training - Virtual Class	8 Hours*
PPC® Self-Paced Online Training	Not Eligible
PPC® Classroom Training	Not Eligible
Fiduciary Essentials of Defined Contribution Plans (Online)	Not Eligible
Fiduciary Essentials for Advisors	Not Eligible

*In order to be eligible for CE credits for AIF® of AIFA® Designation Training - Virtual Class, **students must attend all sessions live.**

NASBA (CPA designation)

AIF® Self-Paced Online Training	Not Eligible
AIF® Capstone Training	Not Eligible
AIF® Designation Training - Virtual Class	7 Hours*
AIFA® Designation Training - Virtual Class	Not Eligible



PPC® Self-Paced Online Training	Not Eligible
PPC® Classroom Training	Not Eligible
Fiduciary Essentials of Defined Contribution Plans (Online)	Not Eligible
Fiduciary Essentials for Advisors	Not Eligible

*In order to be eligible for CE credits for AIF® of AIFA® Designation Training - Virtual Class, **students must attend all sessions live.**

Society of Human Resource Management (SHRM)

AIF® Self-Paced Online Training	Not Eligible
AIF® Capstone Training	Not Eligible
AIF® Designation Training - Virtual Class	Not Eligible
AIFA® Designation Training	Not Eligible
PPC® Self-Paced Online Training	Not Eligible
PPC® Classroom Training	Not Eligible
Fiduciary Essentials of Defined Contribution Plans (Online)	3 hours
Fiduciary Essentials for Advisors	Not Eligible

HR Certification Institute (HRCI)

AIF® Self-Paced Online Training	Not Eligible
AIF® Capstone Training	Not Eligible
AIF® Designation Training - Virtual Class	Not Eligible
AIFA® Designation Training	Not Eligible
PPC® Self-Paced Online Training	Not Eligible
PPC® Classroom Training	Not Eligible
Fiduciary Essentials of Defined Contribution Plans (Online)	3 hours
Fiduciary Essentials for Advisors	Not Eligible

Investment Adviser Representative (IAR) NASAA

AIF® Self-Paced Online Training	6 hours
AIF® Capstone Training	6 hours
AIF® Designation Training - Virtual Class	6 hours

This session is also approved for 6 credits of the **Ethics and Professional Responsibility** component for IAR CE. *NASAA does not endorse any particular provider of CE courses. The content of the course and any views expressed are my/our own and do not necessarily reflect the views of NASAA of any of its member jurisdictions.*

CFP® IWI AND IAR CE CREDITS WILL BE REPORTED ELECTRONICALLY FOR ALL STUDENTS WHO INDICATE CFP® IWI OR IAR ON THE CE REQUEST FORM ON THE LAST BUSINESS DAY OF EVERY MONTH.

ALL OTHER CE REQUESTS WILL BE PROCESSED WITHIN 10 BUSINESS DAYS OF RECEIPT OF REQUEST.

A CERTIFICATE WILL BE SENT TO EACH STUDENT WHO INDICATES THE CPA DESIGNATIONS ON THE CE REQUEST FORM.

STUDENTS INTERESTED IN OBTAINING CE CREDITS FOR OTHER DESIGNATIONS/ORGANIZATIONS, CAN SELECT OTHER AND A GENERIC CERTIFICATE WILL BE GENERATED AND SENT WITHIN 10 BUSINESS DAYS. THOSE STUDENTS ARE RESPONSIBLE FOR SUBMITTING THEIR CONTINUING EDUCATION PAPERWORK TO THE RESPECTIVE ENTITIES.